

Electronic Acknowledgement Receipt

EFS ID:	3760694
Application Number:	09844198
International Application Number:	
Confirmation Number:	2147
Title of Invention:	Computer-implemented method and apparatus for adjusting the cost basis of a security
First Named Inventor/Applicant Name:	Nicco Willis
Customer Number:	39602
Filer:	Daniel J. Noblitt/Gary Newson
Filer Authorized By:	Daniel J. Noblitt
Attorney Docket Number:	10060.0100
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /zip	Pages (if appl.)
1	Response to Election / Restriction Filed	100600100_2008-08-11_Restriction_Req_Resp_dated_1 1-Jul-08.pdf	131852 5a4f778a36882f1699fb032e73d37ef216 180250d	no	4

Warnings:

Information:

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.